

**FROMMER LAWRENCE & HAUG LLP**

745 Fifth Avenue  
New York, New York 10151  
Telephone: (212) 588-0800  
Facsimile: (212) 588-0500

**FACSIMILE COVER LETTER**

To: Ms. Heywood  
Firm: US PTO  
Facsimile No.: (703) 308 7749  
From: Dennis M. Smid  
Date: August 5, 2003  
Re: U.S. Patent Appln. Serial No. 09/910,984  
Our File: 450100-03357

No. of Pages: 11  
(including cover page)

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If you do not receive all pages or are unable to read the transmission, please call (212) 588-0800.

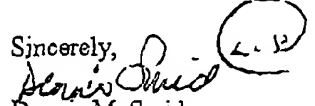
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Dear Ms. Heywood:

Per our telephone conversation today, accompanying this facsimile letter are copies of the documents you requested.

If you have any questions, please do not hesitate to call.

Sincerely,

  
Dennis M. Smid

Encls.

**CONFIDENTIALITY NOTICE**

The documents accompanying this transmission contain confidential information intended for a specific individual and purpose. The information is private, and is legally protected by law. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution, or the taking of any action in reliance on the contents of this facsimile is strictly prohibited.

00140970

Serial No. 09/901,984 File No. 450100-03357 By WSE/sar  
 Title In the Matter of the Application of Takeshi Suzuki et al.  
 The following due 10/31 in the U.S. Patent Office, was received in the Patent Office

<input type="checkbox"/> Affidavit	<input type="checkbox"/> Declaration	<input type="checkbox"/> Express Mail Mailing Certificate
<input type="checkbox"/> Amendment		<input type="checkbox"/> (separate sheet)
<input type="checkbox"/> Preliminary Amendment		<input checked="" type="checkbox"/> Check No. <u>18139</u> for \$ <u>130-</u>
<input type="checkbox"/> Amendment After Final Rejection		<input type="checkbox"/> Deposit Account Order Form
<input type="checkbox"/> Request for Extension of Time		<input type="checkbox"/> Drawing _____ Sheet(s)
<input type="checkbox"/> Provisional Patent Application		<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Application for Patent, including		<input type="checkbox"/> PTO Form 1449,
— Pages Specification — Claims		<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Oath <input checked="" type="checkbox"/> Power		<input type="checkbox"/> Brief <input type="checkbox"/> Letter
<input type="checkbox"/> Request for Filing Continuation or Divisional		<input type="checkbox"/> Application for TM Registration
Application _____ sheets, in duplicate		Including _____ Specimens
<input type="checkbox"/> File Wrapper Continuation Patent Application		<input type="checkbox"/> Status Request <input type="checkbox"/> Notice of Appeal
_____ sheets, in duplicate		<input type="checkbox"/> Petition <input type="checkbox"/> Response
<input type="checkbox"/> PCT Request _____ sheets, including		<input type="checkbox"/> Priority Document
<input type="checkbox"/> Transmittal Letter to the US/RO		<input type="checkbox"/> Small Entity Declaration
<input type="checkbox"/> Assignment <input type="checkbox"/> Recordation Cover Sheet		<input checked="" type="checkbox"/> <u>communication</u>

Mailed: 10/24/01

☒ Notice to file missing parts

Serial No. 09/901,984 File No. 450100-03357 By WSE/sar  
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<input type="checkbox"/> Application for Patent, including		<input type="checkbox"/> PTO Form 1449,
— Pages Specification — Claims		<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> Oath <input checked="" type="checkbox"/> Power		<input type="checkbox"/> Brief <input type="checkbox"/> Letter
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Mailed: 10/24/01

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FROMMER LAWRENCE &amp; HAUG LLP

745 FIFTH AVENUE  
NEW YORK, NY 10151

October 03, 2003

PAY TO THE  
ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

\$ 30.00

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NEW YORK, NY 10022

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CHARGE ANY ADD'L FEES TO DEPOSIT ACCT. #50-0320

018139 60240000187 153011424551

FROMMER LAWRENCE &amp; HAUG LLP

DETACH AND RETAIN THIS STATEMENT

THE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.  
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

APPLICANT

Takeshi SUZUKI et al.

SERIAL NO.

09190-034

FILE &amp; H DOCKET NO.

450100-03357

TITLE

Disk Recording And/or Reproducing  
Apparatus and Control Method Thereof

- ☐ APPEAL BRIEF  
☐ APPEAL FEE  
☐ CERTIFICATE OF CORRECTION  
☐ DISCLAIMER  
☐ EXTENSION OF TIME  
☐ FEE FOR ADDED CLAIMS  
☐ FILING FEE  
☐ ISSUE FEE  
☒ MAINTENANCE FEE  
☒ MISSING PARTS  
☐ NOTICE OF OPPOSITION

- ☐ PETITION FEE  
☐ PETITION FOR CANCELLATION  
☐ PETITION TO REVIVE  
☐ RECORDING FEE  
☐ REQUEST FOR ORAL HEARING  
☐ SEC. 8-TM DECLARATION FEE  
☐ STATEMENT OF USE  
☐ TM RENEWAL

☒ PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320

CHARGE TO

NAME OF CLIENT OR ACCOUNT	FILE NO.	ATTORNEY	AMOUNT
Sony	450100-03357	WSF/sar	\$130.00

PATENT  
450100-03357

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Takeshi SUZUKI et al.  
Serial No. : 09/901,984  
Filed : July 23, 2001  
For : DISK RECORDING AND/OR REPRODUCING APPARATUS  
AND CONTROL METHOD THEREOF  
Art Unit : 2651

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on October 24, 2001

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or  
Registered Representative

*Gordon Kessler*  
Signature  
October 24, 2001  
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: BOX Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated August 31,  
2001 on the above application, a Declaration duly signed by the  
inventors, and a check in the amount of the required \$130.00  
surcharge. By separate cover, we are filing the Assignment and  
the \$40.00 fee for recording same.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,984	07/23/2001	Takeshi Suzuki	450100-03357

CONFIRMATION NO. 3511

## FORMALITIES LETTER



\*OC000000006504842\*

William S. Frommer, Esq.  
c/o FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, NY 10151

Date Mailed: 08/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE